

Item 1 Cover Page

A.

John Brian Dorn

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer

10 N. Third Street

Geneva, Illinois 60134

B.

This Brochure Supplement provides information about John Brian Dorn that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about John Brian Dorn is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

John Brian Dorn was born in 1972. Mr. Dorn graduated from Lake Forest College in 1995, with a Bachelor of the Arts degree in Economics. Mr. Dorn has been employed as an investment adviser representative of Leelyn Smith, LLC since November of 2012 and as a registered representative of LPL Financial Corporation since November of 2017. Mr. Dorn was also an investment adviser representative of LPL Financial Corporation from December of 2017 to May of 2018. Previously, he worked as a registered representative of National Planning Corporation from November of 2012 to November of 2017, as an Investment Consultant for Arrow Funds from 2006-2012, as a Product Developer for Rydex Funds from 2004-2006, as a Project Manager from Morningstar, Inc. from 2002-2004 and as a Product Manager at Thomson Financial from 1998-2002.

Mr. Dorn became an Accredited Investment Fiduciary[®] (AIF[®]) in April of 2016. The AIF[®] Designation certifies that the recipient has demonstrated specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF[®] Designation, the individual must meet prerequisite criteria based on a combination of education,

relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF[®] Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the certification division of Fi360 that is responsible for ongoing management of the program. Fi360 is accredited by the ANSI National Accreditation Board for the AIF[®] Designation, making it one of few independently accredited designations recognized by FINRA.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Mr. Dorn is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Mr. Dorn in his individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Dorn that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Dorn. Clients are reminded that they may purchase investment products recommended by Mr. Dorn through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Mr. Dorn. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Dorn is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Dorn's annual compensation is based, in part, on the amount of assets under management that Mr. Dorn introduces to the Registrant. Accordingly, Mr. Dorn has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Andrew R. Grider

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer

10 N. Third Street

Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Andrew R. Grider that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Andrew R. Grider is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Andrew R. Grider was born in 1979. Mr. Grider graduated from Purdue University in 2001, with a Bachelor of Science degree in Management & Finance. Mr. Grider has been employed as an investment adviser representative of Leelyn Smith, LLC since December of 2011 and has served as CEO since December of 2017 and CCO from March of 2021 until May 2021; he previously served as President from September of 2011 to December of 2017. He has also been a registered representative of LPL Financial Corporation, since December of 2017. Mr. Grider was an investment adviser representative of LPL Financial Corporation from December of 2017 to May of 2018. Previously he has worked as a registered representative of Securities America, Inc., from September of 2007 to January of 2011, and of National Planning Corporation from January of 2011 to November of 2017. From August of 2001 to September of 2007, Mr. Grider was employed as an analyst of Goodrich Corporation. Mr. Grider is the Owner of Leelyn Smith Tax, LLC (from March of 2020 to the present).

Mr. Grider has been a CFA[®] Charterholder since 2012. CFA[®] designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst[®] (CFA[®]) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 200,000 CFA[®] Charterholders working in over 170 countries and regions. To earn the CFA[®] charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA[®] Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA[®] charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA[®] Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA[®] charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Mr. Grider is a registered representative of LPL Financial Corporation (“*LPL*”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Mr. Grider in his individual capacity as a registered representative of *LPL*, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Grider that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Grider. Clients are reminded that they may purchase investment products recommended by Mr. Grider through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through *LPL*, brokerage commissions will be charged by *LPL* to effect securities transactions, a portion of which commissions shall be paid by *LPL* to Mr. Grider. The brokerage commissions charged by *LPL* may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Grider is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.

A conflict of interest exists between Leelyn Smith and *LPL*. Registrant’s Managing Member, Andrew Grider, has personally been offered by *LPL* and has accepted a loan as an incentive to develop new business and to expand his relationship with the broker dealer. For clarity purposes, this loan has not been made to Registrant. In connection with this loan, Mr. Grider is required to maintain his securities license in good standing in the industry, make any required note payments to *LPL* in a timely manner, not become insolvent or declare bankruptcy, and remain affiliated with *LPL* without cause for termination throughout the duration of the term for the note. Amounts due under the loan shall be collected by reducing net commissions and fees due to Mr. Grider from *LPL*. This loan agreement provides Mr. Grider with an incentive to remain affiliated with *LPL* for the specified number of years, which is deemed a conflict of interest for his clients. However, to help mitigate this conflict of interest, Mr. Grider conducts regular reviews of his relationship with *LPL* in light of changing financial industry conditions which could impact his clients’ best interests. He is free to terminate his affiliation with *LPL* at any time and immediately repay any remaining note balances due plus any accrued interest. Mr. Grider

has been affiliated with *LPL* since December of 2017, and he maintains adequate liquid funds in order to repay any outstanding note balances in the event he should choose to terminate his affiliation with *LPL* in the future. Additionally, in April of 2022, Mr. Grider received an economic benefit from *LPL* in the form of a term loan promissory note. The note is forgivable based on the terms of the agreement between Mr. Grider and *LPL*.

- B. **Licensed Insurance Agent.** Mr. Grider, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Grider to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Grider that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Grider. Clients are reminded that they may purchase insurance products recommended by Mr. Grider through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

Mr. Grider's annual compensation is based, in part, on the amount of assets under management that Mr. Grider introduces to the Registrant. Accordingly, Mr. Grider has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Charles R. Hartman

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Charles R. Hartman that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Charles R. Hartman is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Charles R. Hartman was born in 1965. Mr. Hartman graduated from the College of Dupage in 1992, with an Associate of Science degree in Accounting. Mr. Hartman has been employed as an investment adviser representative of Leelyn Smith, LLC, since March of 1999 and as a registered representative of LPL Financial Corporation since November of 2017. Mr. Hartman was also an investment adviser representative of LPL Financial Corporation from December of 2017 to May of 2018. Previously he worked as a registered representative of Securities America, Inc., from November of 1998 to January of 2011, and of National Planning Corporation from January of 2011 to November of 2017.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Mr. Hartman is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Mr. Hartman in his individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Hartman that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Hartman. Clients are reminded that they may purchase investment products recommended by Mr. Hartman through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Mr. Hartman. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Hartman is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. **Licensed Insurance Agent.** Mr. Hartman, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Hartman to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Mr. Hartman that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any insurance commission products from Mr. Hartman. Clients are reminded that they may purchase insurance products recommended by Mr. Hartman through other, non-affiliated insurance agents. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

Mr. Hartman’s annual compensation is based, in part, on the amount of assets under management that Mr. Hartman introduces to the Registrant. Accordingly, Mr. Hartman has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the

recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

MaKinzie R. Stack

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer

10 N. Third Street

Geneva, Illinois 60134

B.

This Brochure Supplement provides information about MaKinzie R. Stack that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about MaKinzie R. Stack is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

MaKinzie R. Stack was born in 1985. Ms. Stack graduated from the University of Illinois Springfield in 2007, with a Bachelor of Science degree in Business Administration and Minor in Information Technology. Ms. Stack has been employed as an investment adviser representative of Leelyn Smith, LLC since May of 2018; she previously served as Customer Service Associate from January of 2013 to May of 2018. She has also been a registered representative of LPL Financial Corporation since November of 2017. Previously she was employed as an investment adviser representative of LPL Financial Corporation from May of 2018 to August of 2020.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Ms. Stack is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Ms. Stack in her individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Ms. Stack that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Ms. Stack. Clients are reminded that they may purchase investment products recommended by Ms. Stack through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Ms. Stack. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Ms. Stack is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. **Licensed Insurance Agent.** Ms. Stack, in her individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Ms. Stack to purchase insurance products on a commission basis. **Conflict of Interest:** The recommendation by Ms. Stack that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any insurance commission products from Ms. Stack. Clients are reminded that they may purchase insurance products recommended by Ms. Stack through other, non-affiliated insurance agents. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

Item 5 Additional Compensation

Ms. Stack’s annual compensation is based, in part, on the amount of assets under management that Ms. Stack introduces to the Registrant. Accordingly, Ms. Stack has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

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Item 1 Cover Page

A.

Kevin J Prendergast

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Kevin J Prendergast that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kevin J Prendergast is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Kevin J Prendergast was born in 1987. Mr. Prendergast graduated from University of Illinois in 2008, with a Bachelor of Science degree in Finance. Mr. Prendergast has been employed as an investment adviser representative of Leelyn Smith, LLC since May of 2019, and of LPL Financial Corporation since May of 2019. He has also been a registered representative of LPL Financial Corporation, since May of 2019. Previously he has worked as a registered representative of Valmark Securities from July of 2012 to May of 2019, as a registered representative of Woodbury Financial Services from May of 2009 to July of 2012 and as investment adviser representative of Woodbury Financial Services from June of 2009 to July of 2012 and as an investment adviser representative of EFG Advisors from May of 2013 to September of 2017.

Mr. Prendergast has been a CFA[®] Charterholder since August of 2014. CFA[®] designates an international professional certificate that is offered by the CFA Institute.

The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 200,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

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- Place their clients' interests ahead of their own
- Maintain independence and objectivity
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- Disclose conflicts of interest and legal matters

Global Recognition

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Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Prendergast is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, he may refer to himself as a CERTIFIED FINANCIAL PLANNER® professional or a CFP® professional, and he may use

these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

A. **Registered Representative of LPL Financial Corporation.** Mr. Prendergast is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Mr. Prendergast in his individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.

1. **Conflict of Interest.** The recommendation by Mr. Prendergast that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Prendergast. Clients are reminded that they may purchase investment products recommended by Mr. Prendergast through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Mr. Prendergast. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Prendergast is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.

Other Investment Adviser Firm. Mr. Prendergast also serves as an investment adviser representative of LPL, in its capacity as an SEC registered investment advisor firm. Mr. Prendergast may refer certain clients to LPL for advisory services. No client is under any obligation to engage the services of LPL.

B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Prendergast’s annual compensation is based, in part, on the amount of assets under management that Mr. Prendergast introduces to the Registrant. Accordingly, Mr. Prendergast has a conflict of interest for recommending the Registrant to clients for investment advisory services,

as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Wendi K. Svitak

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Wendi K. Svitak that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Wendi K. Svitak is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Wendi K. Svitak was born in 1983. Ms. Svitak graduated from Eastern Illinois University in 2006 with a Bachelor of Science degree in business management, and from Elmhurst College in 2013 with a Master of Business Administration degree in finance. Ms. Svitak has been employed as a relationship manager of Leelyn Smith, LLC since May of 2019. She has also been an investment adviser representative and a registered representative of LPL Financial Corporation since May of 2019.

Ms. Svitak is certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). Therefore, she may refer to herself as a CERTIFIED FINANCIAL PLANNER[®] professional or a CFP[®] professional, and she may use these and CFP Board's other certification marks (the "CFP Board Certification Marks"). The CFP[®] certification is voluntary. No federal or state law or regulation requires financial planners to hold

the CFP® certification. You may find more information about the CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board's high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor's degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials. CFP Board implemented the bachelor's degree or higher requirement in 2007 and the financial planning development capstone course requirement in March 2012. Therefore, a CFP® professional who first became certified before those dates may not have earned a bachelor's or higher degree or completed a financial planning development capstone course.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual's ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement and agree to be bound by CFP Board's Code of Ethics and Standards of Conduct ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's Code and Standards. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the Code and Standards.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Ms. Svitak is a registered representative of LPL Financial Corporation (“*LPL*”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Ms. Svitak in her individual capacity as a registered representative of *LPL*, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Ms. Svitak that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Ms. Svitak. Clients are reminded that they may purchase investment products recommended by Ms. Svitak through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through *LPL*, brokerage commissions will be charged by *LPL* to effect securities transactions, a portion of which commissions shall be paid by *LPL* to Ms. Svitak. The brokerage commissions charged by *LPL* may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Ms. Svitak is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Svitak’s annual compensation is based, in part, on the amount of assets under management that Ms. Svitak introduces to the Registrant. Accordingly, Ms. Svitak has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-

7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “Act”). The Registrant’s Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant’s policies and procedures and overseeing the activities of the Registrant’s supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant’s supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Laura L. Chamness

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Laura L. Chamness that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Laura L. Chamness is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Laura L. Chamness was born in 1974. Ms. Chamness graduated from Northern Illinois University in 2017 with a Bachelor of Science degree in corporate communications. Ms. Chamness has been employed as client service specialist of Leelyn Smith, LLC since October of 2017. She has also been a registered representative of LPL Financial Corporation since November of 2017. Previously, she was employed as an investment adviser representative of LPL Financial Corporation from November of 2017 to March of 2023.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Ms. Chamness is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Ms. Chamness in her individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Ms. Chamness that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Ms. Chamness. Clients are reminded that they may purchase investment products recommended by Ms. Chamness through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Ms. Chamness. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Ms. Chamness is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Chamness’ annual compensation is based, in part, on the amount of assets under management that Ms. Chamness introduces to the Registrant. Accordingly, Ms. Chamness has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “Act”). The Registrant’s Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant’s policies and procedures and overseeing the activities of the Registrant’s supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant

have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Christopher F. Dieckow

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Christopher F. Dieckow that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher F. Dieckow is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Christopher F. Dieckow was born in 1985. Mr. Dieckow graduated from The University of Colorado at Boulder in 2009, with a Bachelor of Science degree in finance. Mr. Dieckow has been employed as a Wealth Advisor of Leelyn Smith, LLC since May of 2021. Mr. Dieckow has also been a registered representative of LPL Financial Corporation since April of 2021. From November of 2014 to May of 2021 Mr. Dieckow was employed as a Private Client Advisor of Schwab Private Client Investment Advisory, Inc., and from July of 2009 to May of 2021 he was an active trader and high net worth representative of Charles Schwab and Co. Inc.

Mr. Dieckow has been a CFA[®] Charterholder since 2017. CFA[®] designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst[®] (CFA[®]) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 200,000 CFA[®] Charterholders working in over 170 countries and regions. To earn the CFA[®] charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA[®] Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA[®] charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA[®] Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA[®] charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Mr. Dieckow has held the Certified Wealth Strategist (CWS) designation since 2020. The CWS certification is an application focused designation that aligns the needs of financial services firms, advisors, and consumers. The designation is administered through the Cannon Financial Institute. Prerequisites for the CWS certification are three years of financial services experience that must also include direct interaction with clients and a 4-year degree from an accredited school. To obtain the CWS certification, candidates must complete two instructor-led training sessions, a self-directed study on numerous wealth management issues and a capstone project. CWS designees must report 33 hours of continuing education credits every two years to maintain the certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Mr. Dieckow is a registered representative of LPL Financial Corporation (“*LPL*”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Dieckow in his individual capacity as a registered representative of *LPL*, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Dieckow that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Dieckow. Clients are reminded that they may purchase investment products recommended by Mr. Dieckow through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through *LPL*, brokerage commissions will be charged by *LPL* to effect securities transactions, a portion of which commissions shall be paid by *LPL* to Mr. Dieckow. The brokerage commissions charged by *LPL* may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Dieckow is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Dieckow’s annual compensation is based, in part, on the amount of assets under management that Mr. Dieckow introduces to the Registrant. Accordingly, Mr. Dieckow has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Perry J. Argiropoulos

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Perry J. Argiropoulos that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Perry J. Argiropoulos is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Perry J. Argiropoulos was born in 1979. Mr. Argiropoulos graduated from The University of Wisconsin–Madison in 2001 with a Bachelor of Finance degree, and from The University of Leicester in 2014 with a Master of Business Administration degree. Mr. Argiropoulos has been employed as an Operations Analyst of Leelyn Smith, LLC since October of 2020, and was previously an independent contractor from July of 2018 to October of 2020. Mr. Argiropoulos was employed as a Business Analyst Consultant of The Federal Reserve Bank of St. Louis from October of 2014 to January of 2016.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Argiropoulos' annual compensation is based, in part, on the amount of assets under management that Mr. Argiropoulos introduces to the Registrant. Accordingly, Mr. Argiropoulos has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the "Act"). The Registrant's Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Ryan M. Kahlenberg

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer
10 N. Third Street
Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Ryan M. Kahlenberg that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Ryan M. Kahlenberg is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Ryan M. Kahlenberg was born in 1979. Mr. Kahlenberg graduated from the University of Dayton in 2002 with a Bachelor of Science degree in finance. Mr. Kahlenberg has been a Wealth Advisor of Leelyn Smith, LLC and a registered representative of LPL Financial Corporation since August of 2022. From August of 2017 to August of 2022, Mr. Kahlenberg was an Investment Advisor of Kahlenberg Wealth Partners and Berthel Fisher & Co.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Mr. Kahlenberg is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Mr. Kahlenberg in his individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Kahlenberg that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Kahlenberg. Clients are reminded that they may purchase investment products recommended by Mr. Kahlenberg through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Mr. Kahlenberg. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Kahlenberg is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Kahlenberg’s annual compensation is based, in part, on the amount of assets under management that Mr. Kahlenberg introduces to the Registrant. Accordingly, Mr. Kahlenberg has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “Act”). The Registrant’s Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the

Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Sean R. Poquette

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer

10 N. Third Street

Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Sean R. Poquette that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Sean R. Poquette is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Sean R. Poquette was born in 1990. Mr. Poquette graduated from Aurora University in 2016 with a Bachelor of Arts degree in psychology and in 2018 with a Master of Business Administration degree. Mr. Poquette has been with Leelyn Smith, LLC since August of 2023, and he is currently a Wealth Advisor. He has also been a registered representative of LPL Financial Corporation since August of 2024. From February of 2019 to August of 2023, Mr. Poquette was the Vice President of Lending & Credit Management for The State Bank of Geneva.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Mr. Poquette is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Mr. Poquette in his individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Poquette that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Poquette. Clients are reminded that they may purchase investment products recommended by Mr. Poquette through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Mr. Poquette. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Mr. Poquette is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Poquette’s annual compensation is based, in part, on the amount of assets under management that Mr. Poquette introduces to the Registrant. Accordingly, Mr. Poquette has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “Act”). The Registrant’s Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant’s policies and procedures and overseeing the activities of the Registrant’s supervised

persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.

Item 1 Cover Page

A.

Kelly J. Kovasic

Leelyn Smith, LLC

ADV Part 2B, Brochure Supplement

Dated: May 8, 2026

Contact: Christopher McManama, Chief Compliance Officer

10 N. Third Street

Geneva, Illinois 60134

B.

This Brochure Supplement provides information about Kelly J. Kovasic that supplements Leelyn Smith, LLC's Brochure; you should have received a copy of that Brochure. Please contact Christopher McManama, Chief Compliance Officer, if you did *not* receive Leelyn Smith, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Kelly J. Kovasic is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Education Background and Business Experience

Kelly J. Kovasic was born in 1993. Ms. Kovasic graduated from Lewis University in 2014 with Associate of Science degree in Aviation. Ms. Kovasic has been with Leelyn Smith, LLC since February of 2021, and she is currently a Wealth Advisor. She has also been a registered representative of LPL Financial Corporation since February of 2021. From September of 2020 to February of 2021, she was a Client Service employee of Total Clarity Wealth.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. **Registered Representative of LPL Financial Corporation.** Ms. Kovasic is a registered representative of LPL Financial Corporation (“LPL”), an SEC Registered and FINRA member broker-dealer (CRD# 6413). Clients may choose to engage Ms. Kovasic in her individual capacity as a registered representative of LPL, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Ms. Kovasic that a client purchase a securities commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Ms. Kovasic. Clients are reminded that they may purchase investment products recommended by Ms. Kovasic through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Christopher McManama, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
 2. **Commissions.** In the event the client chooses to purchase investment products through LPL, brokerage commissions will be charged by LPL to effect securities transactions, a portion of which commissions shall be paid by LPL to Ms. Kovasic. The brokerage commissions charged by LPL may be higher or lower than those charged by other broker-dealers. The securities commission business conducted by Ms. Kovasic is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Kovasic’s annual compensation is based, in part, on the amount of assets under management that Ms. Kovasic introduces to the Registrant. Accordingly, Ms. Kovasic has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client’s best interests.

Item 6 Supervision

The Registrant provides investment advisory and supervisory services in accordance with the Registrant’s policies and procedures manual. The primary purpose of the Registrant’s Rule 206(4)-7 policies and procedures is to comply with the supervision requirements of Section 203(e)(6) of the Investment Advisers Act of 1940 (the “Act”). The Registrant’s Chief Compliance Officer, Christopher McManama, is primarily responsible for the implementation of the Registrant’s policies and procedures and overseeing the activities of the Registrant’s supervised

persons. Should an employee, independent contractor, investment adviser representative, or promoter of the Registrant have any questions regarding the applicability/relevance of the Act, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Mr. McManama at (630) 232-8995.